

A close-up photograph of an hourglass with blue sand, set against a solid blue background. The hourglass is positioned vertically, with the top bulb containing a small amount of sand. The sand is falling through the narrow neck into the bottom bulb. The lighting is soft, highlighting the texture of the sand and the glass.

This webinar will begin shortly.

FIA



Improving the Timeliness of Give-Up Processing *Webinar*

—
June 4, 2025



Reminders

- The webinar will be recorded and posted to the FIA website within 24 hours of the live webinar.
- Please use the **Q&A** function on your webinar control panel to ask a question to the moderator or speakers.

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30/30/30 Activity Windows

Activity Window	Party	30-Minute Clock Starts	30-Minute Clock Stops
Timeliness of Trade Confirmations			
#1	Executing Broker*	Order is executed	Completed Order confirmed electronically to Client
Timeliness of Allocations & Give-Ups			
#2	Client	Executing Broker confirms Completed Order to Client	Allocation instructions sent to Executing Broker <u>and</u> Clearing Broker
#3	Executing Broker	Allocation instructions received from Client	Allocation instructions submitted to Clearinghouse
#4	Clearing Broker	Allocated trades visible in Clearinghouse system	Allocated trade accepted and booked into end-Client account(s)

★ [Read the Implementation Guide](#)

★ [Download the Standard](#)

*Executing Broker provides trade confirmation within 30 minutes of order execution. Trade confirmations should be delivered electronically, preferably via an automated message. A verbal or email message that an order has been filled does not constitute a trade confirmation.

30/30/30 Implementation Process

	PHASE 1	PHASE 2	PHASE 3	PHASE 4	PHASE 5
	 Benchmarking	 Discovery	 Communication	 Requirements Gathering	 Implementation
Activities and Milestones	<ul style="list-style-type: none"> <input type="checkbox"/> Review Implementation Guide <input type="checkbox"/> Capture baseline figures for current T, T+ processing <input type="checkbox"/> Document role of relevant 3rd parties (EB/CB, Clients, Vendors) <input type="checkbox"/> Inventory contacts of counterparties/escalations <input type="checkbox"/> Document regional considerations (time zone, availability) <input type="checkbox"/> Document system limitations 	<ul style="list-style-type: none"> <input type="checkbox"/> Begin daily tracking of give-ins and give-outs <input type="checkbox"/> Identify reasons and patterns for processing delays <input type="checkbox"/> Identify internal timestamps for each activity window 	<ul style="list-style-type: none"> <input type="checkbox"/> Initiate conversations with EB/CB, Clients, Vendors, and Service Providers 	<ul style="list-style-type: none"> <input type="checkbox"/> Metrics: Establish process for ongoing monitoring of activity windows <input type="checkbox"/> Formalize workflow improvements <input type="checkbox"/> Capture requirements for daily/monthly reporting dashboards 	<ul style="list-style-type: none"> <input type="checkbox"/> Request monthly data from CCPs <input type="checkbox"/> Implement daily/monthly reporting dashboards <input type="checkbox"/> Implement remediation plan
6 Planned Start/End	←		Month/Quarter/Year	→	



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The logo consists of the letters 'F', 'I', and 'A' in a bold, sans-serif font. The 'F' is solid grey. The 'I' is solid grey. The 'A' is formed by two overlapping shapes: a light green triangle pointing upwards and a light blue triangle pointing downwards, which together create a central white space.